



Student Financial Aid (SFA) 2010-11

National Center for Education Statistics (NCES)

&

Association for Institutional Research (AIR)

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Welcome

- Collected each spring
- Next tutorial chapters:
 - Overview
 - What's New
 - Step-by-Step





Overview of the IPEDS Student Financial Aid Survey (SFA)

Collection Cycle

- Collects information about student financial aid
- Collected during the spring collection
 - Opens early December
 - Closes mid-April
 - Usually open eighteen weeks for IPEDS keyholders to enter and lock information
 - Open twenty weeks for IPEDS coordinators



Compliance

- Provides data for higher education analysis and consumer information
- All postsecondary institutions that participate in Title IV federal student aid programs must complete IPEDS
 - Fines may be imposed for failure to comply
 - Loss of Title IV funding may occur



Survey Purpose

- Collect information about financial aid awarded to undergraduate students
 - All undergraduates
 - Full-time, first-time degree/certificate-seeking
 - Total dollars awarded by type of aid
 - Living arrangements
 - Grant or scholarship aid to Title IV aid recipients by income level
 - Calculation of average cost of attendance
 - Calculation of average institutional net price



Net Price

- College Navigator identifies
 - Average amounts of grants and loans awarded to students at a particular institution
 - Average institutional net price at a particular institution
 - Institutions with the highest net prices
 - Institutions whose net prices increase at the highest rates

Academic Reporters

- Operate on standard academic terms, such as a semester, quarter, trimester, 4-1-4, or other academic calendar system
- Report on undergraduate students who were enrolled on October 15, 2009 or the institution's official fall reporting date
- Report on aid awarded during their full financial aid year, which is the academic year 2009-10



Program Reporters

- Operate on a calendar that differs by program, or that operates on a continuous basis
- Report on undergraduate students who were enrolled at anytime between September 1, 2009 and August 31, 2010
- Report on aid awarded anytime between September 1, 2009 to August 31, 2010

Context Boxes

- Provided to further explain responses
- Information may be displayed on College Navigator
www.nces.ed.gov/collegenavigator



What's New for Student Financial Aid (SFA) 2010-11

What's New for 2010-11

- Part A
 - Report in Line 02b the number of students in Group 2 who received any loans to students or grant or scholarship aid from the federal government, state/local government, or the institution



Student Financial Aid (SFA) 2010-11

Step-by-Step

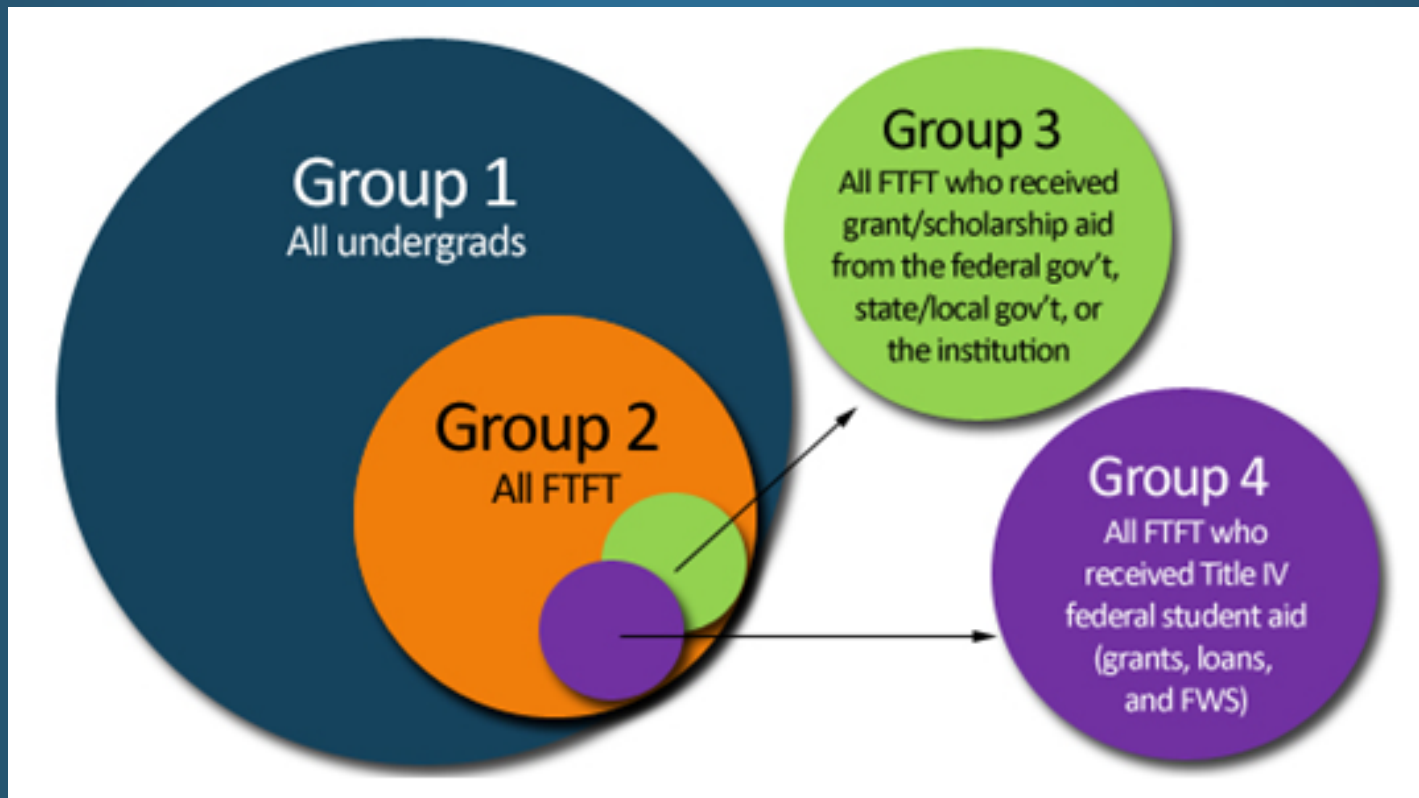
Data Reported

- Number of undergraduate students in each of four groups and dollars received by these students by aid type
- Living arrangement information for full-time, first-time degree/certificate-seeking undergraduate students paying the in-state or in-district tuition rate who received grant or scholarship aid
- Living arrangement information for full-time, first-time degree/certificate-seeking undergraduate students paying the in-state or in-district tuition rate who received Title IV federal student aid
- Income levels for full-time, first-time degree/certificate-seeking undergraduate students paying in-state or in-district tuition rate who received Title IV federal student aid



Student Groups

- Collects information about various groups of undergraduate students



Types of Data

- Four types of data:
 - Data entered by the institution from its financial aid records
 - Prior year data, shown in red, for comparison
 - Data that are carried forward from one part of the survey to another part to ensure consistency
 - Data that are calculated from other data elements



Data Accuracy

- Data accuracy is vital
- Groups established in Part A will be reported on throughout the survey
- Check data that are carried forward against the institution's records
- Prior year data are provided for comparison
- Errors should be corrected before the survey can be locked
- Data in the shaded blocks cannot be manually altered



Aid Received

- Provide information on the numbers of students who meet certain conditions and the total amount of financial aid they received
- “Received” refers to financial aid that was awarded to, and accepted by, a student and may differ from the aid amount that is disbursed
 - A student may accept aid but leave the institution before the aid was disbursed
 - Because the student was awarded and accepted the aid, the aid amount should be included, even though the aid was not actually disbursed



Aid Categories

- All institutions that had undergraduate students enrolled for the reporting period must complete the Student Financial Aid survey
- Use the institution's financial aid records
- Although a student can be counted in more than one aid category, a student should only be counted once in each category
- Total financial aid amounts should be rounded to the nearest whole dollar

Do Not Include

- Do not report students who were only graduate students at the institution
- Do not report students who were enrolled exclusively in courses not creditable toward a certificate/degree, students who were enrolled exclusively in Continuing Education Units (CEUs), or students who were exclusively auditing classes
- Do not include Federal Work Study funds
- Do not include Federal loans that are made to someone other than the student

IPEDS System Tips

- Shaded cells:
 - Automatically calculated totals
 - Pre-populated data from the prior year
 - Data cannot be manually entered or modified
- White cells:
 - Data can be entered and modified



Where Data Appear

- Institution-level data
 - IPEDS Data Feedback Reports
 - IPEDS Data Center
 - College Navigator
- Aggregate-level data
 - IPEDS First Looks
 - IPEDS Table Library
 - IPEDS Data Feedback Reports
 - Digest of Education Statistics
 - The Condition of Education



Part A

Part A - Establish Your Groups

Part A establishes the number of students in various groups. Note that the numbers on this screen will be carried forward to other parts of the Student Financial Aid component.

In the fields below, report the number of students in each of the following groups:

	Fall 2009	Fall 2009 counts reported on this year's Fall Enrollment survey	Fall 2008 counts reported on last year's SFA survey
01. Group 1 All undergraduate students enrolled in Fall 2009	<input type="text"/>		
02. Group 2 Of those in Group 1, those who are full-time, first-time degree/certificate-seeking	<input type="text"/>		
02a. Of those in Group 2, those who received any Federal Work Study, loans to students, or grant or scholarship aid from the federal government, state/local government, the institution, or other sources known to the institution	<input type="text"/>		
02b. Of those in Group 2, those who received any loans to students or grant or scholarship aid from the federal government, state/local government, or the institution	<input type="text"/>		
03. Group 3 Of those in Group 2, those paying the in-state or in-district tuition rate who received grant or scholarship aid from the federal government, state/local government, or the institution. Exclude other sources of grants.	<input type="text"/>		
04. Group 4 Of those in Group 2, those paying the in-state or in-district tuition rate who received Title IV federal student aid	<input type="text"/>		



Part A

- Line 01 – Establish Group 1
 - Full-time and part-time undergraduate students who are degree/certificate-seeking
 - Include other degree/certificate-seeking undergraduate students who are enrolled in credit bearing courses, including students in a vocational or occupational program
- Preloaded from the IPEDS Fall Enrollment survey for academic reporters and from the IPEDS 12-month Enrollment survey for program reporters



Part A

- Line 02 – Establish Group 2
 - Subset of Group 1 students
 - Students in Group 1 who are full-time, first-time degree/certificate-seeking undergraduates

Part A

- Line 02a
 - Subset of Group 2 students
 - Students who received any Federal Work Study, loans to students, or grant or scholarship aid from the federal government, state/local government, the institution, or other sources known to the institution

Part A

- Line 02b
 - Subset of Group 2 students
 - Number of students who received any loans to students or grant or scholarship aid from the federal government, state/local government, or the institution
 - Essentially the same number as Line 02a, but with students who received only Federal Work Study or aid from private or other sources subtracted

Part A

- Line 03 – Establish Group 3
 - Subset of Group 2 students
 - Students in Group 2 who received grant or scholarship aid from the federal government, state/local government, or the institution
 - Do not include students who received only grant or scholarship aid from private or other sources
 - Academic reporters from public institutions should include only those students paying the in-state or in-district tuition rate

Part A

- Line 04 – Establish Group 4
 - Subset of Group 2 students
 - Students in Group 2 who received any Title IV federal student aid
 - Academic reporters from public institutions should include only those students paying the in-state or in-district tuition rate

Part B

Part B - Enter Financial Aid About Group 1

Group 1 students are all undergraduates enrolled in Fall 2009.

For this part, report:

- Financial aid received by Group 1 students from all sources: the federal government, state/local government, the institution, and other sources known to the institution
- Financial aid received by Group 1 students at any time during the full aid year (academic year 2009–10)

In the fields below, report the number of Group 1 students and the total amount of aid received by these students for each type of aid.

Information from Part A:		Fall 2009	Fall 2008	
Group 1 All undergraduate students enrolled in Fall 2009 (This number is carried forward from Part A, Line 01.)				
Aid Type	Number of students in Group 1 receiving aid in 2009-10	Percentage of students receiving aid	Total amount of aid received by Group 1 in 2009-10	Average amount of aid received by students in Group 1
01. Grant or scholarship aid received from the federal government, state/local government, the institution, and other sources known to the institution	<input type="text"/>		<input type="text"/>	
02. Pell grants	<input type="text"/>		<input type="text"/>	
03. Federal student loans	<input type="text"/>		<input type="text"/>	

You may use the space below to provide context for data you've reported above. These context notes will be posted on the College Navigator website and should be written to be understood by students and parents.



Part B

- Line 01
 - All grant and scholarship aid received, including any private or other sources of aid known to the institution
- Line 02
 - Pell grants only
- Line 03
 - Federal student loans made to students
 - Do NOT include any loans made to parent

Part C, Page 1

Part C - Enter Financial Aid About Group 2, Page 1

Group 2 students are all full-time, first-time degree/certificate-seeking undergraduate students enrolled in Fall 2009.

In the fields below, report the number of Group 2 students paying in-district, in-state, and out-of-state tuition rates.

Information from Part A:		Number of students in Fall 2009	Percentage of total number of undergraduate students in Fall 2009	Percentage of total number of undergraduate students in Fall 2008
Group 1				
01.	All undergraduate students enrolled in Fall 2009 (This number is carried forward from A01.)			
Group 2				
02.	Full-time, first-time degree/certificate-seeking undergraduates enrolled in Fall 2009 (This number is carried forward from A02.)			
02a.	Of those in Group 2, those who received any Federal Work Study, loans to students, or grant or scholarship aid from the federal government, state/local government, the institution, or other sources known to the institution (This number is carried forward from Part A, Line 02a.)			
02b.	Of those in Group 2, those who received any loans to students or grant or scholarship aid from the federal government, state/local government, or the institution			



Part C, Page 1

- Line 04 for academic reporters from public institutions

04. Group 2 students:		Number of Group 2 students in Fall 2009	Percentage of Group 2 students in Fall 2009	Percentage of Group 2 students in Fall 2008
04a.	<u>paying in-district tuition rates</u>	<input type="text"/>		
04b.	<u>paying in-state tuition rates</u>	<input type="text"/>		
04c.	<u>paying out-of-state tuition rates</u>	<input type="text"/>		
04d.	Unknown (calculated value) This value is calculated using the following formula: $[02 - (04a+04b+04c)]$			

Part C, Page 2

- Report number of Group 2 students and the total dollar amount of grant or scholarship aid they received from the federal government, state/local government, or the institution

Academic reporters:

- Full-time, first-time degree/certificate-seeking students enrolled in fall 2009
- Aid received by Group 2 students anytime during academic year 2009-10

Program reporters:

- Full-time, first-time degree/certificate-seeking students enrolled anytime between September 1, 2009 and August 31, 2010
- Aid received by Group 2 students anytime between September 1, 2009 and August 31, 2010

Part C, Page 2

In the fields below, report the number of Group 2 students and the total amount of aid received by these students for each type of aid.

Types of aid	Number of students in Group 2 receiving aid in 2009-10	Percentage of students in Group 2 receiving aid in 2009-10	Total dollar amount of aid received by Group 2 in 2009-10	Average amount of aid received by students in Group 2 in 2009-10	Average amount of aid received by students in Group 2 in 2008-09
01. Grants or scholarships from the federal government, state/local government, or the Institution	<input type="text"/>				
02. Federal grants	<input type="text"/>				
02a. Pell grants	<input type="text"/>		<input type="text"/>		
02b. Other federal grants	<input type="text"/>		<input type="text"/>		
03. State/local government grants or scholarships (grants/scholarships/waivers)	<input type="text"/>		<input type="text"/>		
04. Institutional grants or scholarships (scholarships/fellowships)	<input type="text"/>		<input type="text"/>		
05. Loans to students	<input type="text"/>				
05a. Federal loans	<input type="text"/>		<input type="text"/>		
05b. Other loans (including private loans)	<input type="text"/>		<input type="text"/>		

You may use the space below to provide context for the data you've reported above. These context notes will be posted on the College Navigator website and should be written to be understood by students and parents. For example, institutions may report here other sources of private aid not included in the categories above.



Part C, Page 2

- Line 01
 - Enter number of Group 2 students who received grant or scholarship aid from the federal government, state/local government, or the institution
 - Do not include students who received grant or scholarship aid only from private or other sources

Part C, Page 2

- Line 02
 - Enter the number of Group 2 students who received federal grants
- Line 02a
 - Enter the number of Group 2 students who received Pell grants (column 1) and the total dollar amount of all Pell grants they received (column 3)
- Line 02b
 - Enter the number of Group 2 students who received other federal grants (column 1) and the total dollar amount of all other federal grants they received (column 3)

Part C, Page 2

- Line 03
 - Enter the number of Group 2 students who received state/local government grants or scholarships (column 1) and the total dollar amount of all state/local government grants or scholarships they received (column 3)
 - Examples:
 - State Student Incentive Grants (SSIG)
 - Leveraging Educational Assistance Partnerships (LEAP)
 - Florida's Bright Futures Scholarship
 - Merit scholarships

Part C, Page 2

- Line 04
 - Enter the number of Group 2 students who received institutional grants or scholarships (column 1) and the total dollar amount of all institutional grants or scholarships they received (column 3)
 - Can be funded by the institution or by a department within the institution

Part C, Page 2

- Line 05
 - Enter the number of Group 2 students who received loans

Part C, Page 2

- Line 05a
 - Enter the number of Group 2 students who received federal loans (column 1) and the total dollar amount of all federal loans they received (column 3)
 - Do not include any other type of loan or any loans made to others, such as a PLUS loan made to a student's parents
- Line 05b
 - Enter the number of Group 2 students who received other loans (column 1) and the total dollar amount of all other loans they received (column 3)
 - Do not include any other type of loan or any loans made to others, such as loans made to a student's parents

Part D

Information from Part A:	2009-2010	2008-2009	2007-2008
Group 2 Full-time, first-time degree/certificate-seeking undergraduates enrolled in Fall 2009 (This number is carried forward from Part A, Line 02.)			
Group 3 Of those in Group 2, those paying the in-state or in-district tuition rate who received			
01. grant or scholarship aid from the federal government, state/local government, or the institution. Exclude other sources of grants. (This number is carried forward from Part A, Line 03.)		<input type="text"/>	<input type="text"/>
02. Report the number of Group 3 students with the following living arrangements:			
02a. On-campus	<input type="text"/>	<input type="text"/>	<input type="text"/>
02b. Off-campus (with family)	<input type="text"/>	<input type="text"/>	<input type="text"/>
02c. Off-campus (not with family)	<input type="text"/>	<input type="text"/>	<input type="text"/>
02d. Unknown (calculated) This value is calculated using the following formula: $[D01 - (D02a + D02b + D02c)]$			
03. Total grant or scholarship aid from the federal government, state/local government, or the institution received by students in Group 3. Exclude other sources of grants.	<input type="text"/>	<input type="text"/>	<input type="text"/>
04. Average total grant and scholarship aid from the federal government, state/local government, or the institution received by students in Group 3 (calculated). This value is calculated using the following formula: $[D03/D01]$			
You may use the space below to provide context for the data you've reported above.			
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Part D

- Line 02
 - Report on Group 3 students in each living arrangement category
 - Use most recently available information
- Line 02a
 - Enter the number of Group 3 students who lived on-campus
- Line 02b
 - Enter the number of Group 3 students who lived off-campus with family
- Line 02c
 - Enter the number of Group 3 students who lived off-campus but not with family

Part D

- Line 02d
 - Calculated automatically
 - Amount is calculated by adding the amounts on line 02a through 02c and subtracting the total from line 01
 - Should be a small percentage of the total because the institution's financial aid system should have housing information available for most students

Part D

- Line 03
 - Enter the total dollar amount of grant or scholarship aid from the federal government, state/local government, or the institution received by Group 3 students
 - Grant or scholarship aid from private or other sources and loan aid should not be included in this line

Part D

- Line 04
 - Automatically calculated
 - Amount is calculated by dividing the amount on line 03 by the amount on line 01
 - Amount will be used in Part F to calculate net price

Part E

Information from Part A:				2009-2010	2008-2009	2007-2008			
01. Group 4 Of those in Group 2, those paying the in-state or in-district tuition rate who received Title IV federal student aid. (This number is carried forward from Part A, Line 04.)									
02. Report the number of Group 4 students with the following living arrangements:									
02a.	<u>On-campus</u>			<input type="text"/>					
02b.	<u>Off-campus (with family)</u>			<input type="text"/>					
02c.	<u>Off-campus (not with family)</u>			<input type="text"/>					
02d.	Unknown (calculated) This value is calculated using the formula: [E01-(E02a+E02b+E02c)]								
				2009-2010		2008-09		Available in future years, preloaded	
	Col. 1	Col. 2	Col. 3	Col. 4	Col. 5	Col. 6	Col. 7	Col. 8	Col. 9
03. Income Level	Number of students in Group 4 receiving any Title IV aid in 2009-10	Total dollar amount of grant or scholarship aid received by students in Group 4 in 2009-10	Average dollar amount of grant or scholarship aid received by students in Group 4 in 2009-10	Number of students in Group 4 receiving any Title IV aid in 2008-09	Total dollar amount of grant or scholarship aid received by students in Group 4 in 2008-09	Average dollar amount of grant or scholarship aid received by students in Group 4 in 2008-09	Number of students in Group 4 receiving any Title IV aid	Total dollar amount of grant and scholarship aid received by students in Group 4	Average dollar amount of grant and scholarship aid received by students in Group 4
\$0-30,000	<input type="text"/>	<input type="text"/>	<input type="text"/>						
\$30,001-48,000	<input type="text"/>	<input type="text"/>	<input type="text"/>						
\$48,001-75,000	<input type="text"/>	<input type="text"/>	<input type="text"/>						
\$75,001-110,000	<input type="text"/>	<input type="text"/>	<input type="text"/>						
\$110,001 and more	<input type="text"/>	<input type="text"/>	<input type="text"/>						
Total all income levels	<input type="text"/>	<input type="text"/>	<input type="text"/>						



Part E

- Line 02
 - Report Group 4 students in each living arrangement category
 - Use most recently available information
- Line 02a
 - Enter the number of Group 4 students who lived on-campus
- Line 02b
 - Enter the number of Group 4 students who lived off-campus with family
- Line 02c
 - Enter the number of Group 4 students who lived off-campus but not with family

Part E

- Line 03, Column 1
 - Enter the number of Group 4 students in each income level
 - Use the income that was used by the institution's financial aid office to determine the student's Expected Family Contribution (EFC)
 - For dependent students this is a combination of the parents' income and the student's income
 - For independent students this will be only the student's income

Part E

- Line 03, Column 2
 - Enter the total dollar amount of grant or scholarship aid received by Group 4 students from the federal government, state/local government, or the institution for each income level
 - Grant or scholarship aid from other sources and loan dollar amounts should not be included

Part E

- Line 03, Column 3
 - Calculated automatically
 - Equals the average amount of aid received, divide the amount in column 2 by the amount in column 1 for a given income level

Part F

Part F - Net Price Calculation for Group 3

Group 3 students are all full-time, first-time degree/certificate-seeking undergraduates enrolled in Fall 2009 who paying the in-state or in-district tuition rate and received grant or scholarship aid from the federal government, state/local government, or the institution.

The following net price calculation is based on information that your institution reported in the Institutional Characteristics component and the Student Financial Aid component.

For more information about the data your institution reported in the Institutional Characteristics component, please contact your institution's IPEDS Keyholder.

		2009-2010	2008-2009	2007-2008
Components of cost of attendance				
01.	Published tuition and required fees (lower of in-district or in-state)			
02.	Books and supplies			
03. Room and board and other expenses by living arrangement				
03a.	<u>On campus</u>			
03b.	<u>Off-campus (with family)</u>			
03c.	<u>Off-campus (not with family)</u>			
04. Number of Group 3 students by living arrangement				
04a.	<u>On campus</u>			
04b.	<u>Off-campus (with family)</u>			
04c.	<u>Off-campus (not with family)</u>			
04d.	Unknown			



Part F

05.	Weighted average by living arrangement (excluding unknown values) for room and board and other expenses. See instructions for the formula for this calculation.			
06.	Total cost of attendance (calculated) This value is calculated using the following formula: $[F01+F02+F05]$			
07.	Average			
08.	Average net price for Group 3 students Average net price for full-time, first-time degree/certificate-seeking undergraduate students enrolled in Fall 2009 who paying the in-state or in-district tuition rate and received grant or scholarship aid from the federal government, state/local government, or the institution (calculated). This value is calculated using the following formula: $(F06-F07)$			
	These amounts will be posted on the U.S. Department of Education's College Navigator website.			

Do you wish to provide additional context notes?

Yes No

You may use the space below to provide context for these data. These context notes will be posted on the College Navigator website and should be written to be understood by students and parents.

Part G

Part G - Net Price Calculation for Group 4

Group 4 students are all full-time, first-time degree/certificate-seeking undergraduates enrolled in Fall 2009 who paying the in-state or in-district tuition rate and received Title IV federal student aid, including federal grants or federal student loans.

The following net price calculation is based on information that your institution reported in the Institutional Characteristics component and the Student Financial Aid component.

For more information about the data your institution reported in the Institutional Characteristics component, please contact your institution's IPEDS Keyholder.

	2009-2010	2008-2009	2007-2008
Components of cost of attendance			
01. Published tuition and required fees (lower of in-district or in-state rates)			
02. Books and supplies			
03. Room and board and other expenses by living arrangement			
03a. <u>On campus</u>			
03b. <u>Off-campus (with family)</u>			
03c. <u>Off-campus (not with family)</u>			
04. Number of Group 4 students by living arrangement			
04a. <u>On campus</u>			
04b. <u>Off-campus (with family)</u>			
04c. <u>Off-campus (not with family)</u>			
04d. <u>Unknown</u>			

Part G

05.	Weighted average by living arrangement (excluding unknown values) for room and board and other expenses. See instructions for the formula for this calculation.			
06.	Total cost of attendance (calculated) This value is calculated using the following formula: [G01+G02+G05]			
	\$0-30,000			
	\$30,001-48,000			
	\$48,001-75,000			
	\$75,001-110,000			
	\$110,001 and more			
08.	Average net price for Group 4 students Average net price for full-time, first-time degree/certificate-seeking undergraduate students enrolled in Fall 2009 who paying the in-state or in-district tuition rate and received Title IV federal student aid (calculated). This value is calculated using the following formula: [F06-F07]			
	\$0-30,000			
	\$30,001-48,000			
	\$48,001-75,000			
	\$75,001-110,000			
	\$110,001 and more			
	These amounts will be posted on the U.S. Department of Education's College Navigator website.			
Do you wish to provide additional context notes?				
				Yes <input type="radio"/> No <input type="radio"/>
You may use the space below to provide context for these data. These context notes will be posted on the College Navigator website and should be written to be understood by students and parents.				
<div style="border: 1px solid gray; height: 100px; width: 100%;"></div>				

Non-Compliance

- NCES provides a list of non-compliant institutions to the Office of Federal Student Aid (FSA)
- FSA sends letters and warnings to non-compliant institutions
- Penalties can result in fines up to \$27,500 per occurrence, or loss of the institution's Title IV eligibility

Help and Resources

IPEDS Help Desk

Phone: 1-877-225-2568

E-mail: ipedshelp@rti.org

